

USDE 21st CCLC Guidelines – June 2011

PROGRAM INFORMATION

States are asked to update State plans with respect to the 21st CCLC program. Please describe (1) any changes or revisions made to your State's original State plan with respect to the 21st CCLC program; (2) how such changes or revisions fall within the scope and objectives of the approved State plan and your State's 21st CCLC program; and (3) how such changes or revisions meet the statutory purposes of the 21st CCLC program.

In addition, please update your State's consolidated State plan as follows:

- A. In accordance with the May 2002 Federal Register notice, please describe key procedures, selection criteria, interpretations provided for any key ESEA terms, and the priorities the State will use to award competitive subgrants or contracts to the entities and for the activities required by the 21st CCLC program statute. States should include a description of how these selection criteria and priorities will promote improved academic achievement under the 21st CCLC program.

- B. Appendix B of the May 2002 notice states as follows: "States will conduct a number of activities to ensure effective implementation of the ESEA programs included in their consolidated applications. Many of the activities may serve multiple programs. For example, a State may develop a comprehensive approach to monitoring and technical assistance that will be used for several (or all) programs." Please update your State's responses to the items below as they relate to the 21st CCLC program and describe how your State's 21st CCLC program benefits from the activities, as described in your State's consolidated application and as updated below.
 1. Describe how the State will monitor and provide professional development and technical assistance to LEAs, schools, and other subgrantees to help these entities implement their programs and meet the State's (and those entities' own) performance goals and objectives.
 2. Describe the Statewide system of support under section 1117 [of the ESEA] for ensuring that all schools meet the State's academic content and student achievement standards, including how the State will provide assistance to low-performing schools.
 3. Describe the key activities and initiatives the SEA will carry out with administrative funds reserved for administration and State-level activities.

A State may wish to consider the following applicable statutory provisions as it updates its State plan:

SECTION A: GENERAL REQUIREMENTS

- A.1 Section 4202(c) of the ESEA requires each State to reserve not less than 95 percent of its 21st CCLC funds to make subgrants, not more than two (2) percent for state administration activities, and not more than three (3) percent for State activities (20 U.S.C. 7172(c)).
- A.2 Section 4202(c)(3) of the ESEA states that each State monitor and evaluate the programs and activities; provide capacity building, training, and technical assistance; conduct a comprehensive evaluation (directly, or through a grant or contract) of the effectiveness of programs and activities; and provide training and technical assistance to eligible entities who are applicants for or recipients of awards. (*Federal Register: Volume 67, Number 99 (Appendix B: State Activities to Implement ESEA Programs)*)
- A.3 Section 4203(a)(4) of the ESEA states that an application describes the procedures and criteria the SEA will use for reviewing applications and awarding funds to eligible entities on a competitive basis, which shall include procedures and criteria that take into consideration the likelihood that a proposed community learning center will help participating students meet local content and student academic achievement standards. (*Federal Register: Volume 67, Number 99 (Appendix B: State Activities to Implement ESEA Programs)*)
- A.4 Section 4203(a)(5) of the ESEA states that the SEA must describe how it will ensure that awards made are of sufficient size and scope to support high-quality, effective programs that are consistent with the purpose of this part; and in amounts that are consistent with section 4204(h). (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)
- A.5 Section 4203(a)(6) of the ESEA states that the SEA must describe the steps the SEA will take to ensure that programs implement effective strategies, including providing ongoing technical assistance and training, evaluation, and dissemination of promising practices. (*Federal Register: Volume 67, Number 99 (Appendix B: State Activities to Implement ESEA Programs)*)
- A.6 Section 4203(a)(7) of the ESEA states that the SEA must describe how program under the ESEA will be coordinated with programs under the ESEA, and other programs as appropriate. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)
- A.7 Section 4204(d)(1) of the ESEA states that an SEA may require an eligible entity to match funds awarded under this part, except that such match may not exceed the amount

of the grant award and may not be derived from other Federal or State funds. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)

- A.8 Section 4203(a)(b) states that the SEA must provide assurance that the SEA will make awards under this part only to eligible entities that propose to serve (A) students who primarily attend schools eligible for school-wide Title I programs and schools that serve a high percentage of students from low-income families; and (B) the families of students in schools eligible for school-wide Title I programs or schools that serve a high percentage of students from low-income families. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)
- A.9 Section 4204 (i)(1) states that the SEA must give priority to applications (A) proposing to target services to students who attend schools that have been identified as in need of improvement under section 1116 of the ESEA; and (B) submitted jointly by eligible entities consisting of not less than one local educational agency (LEA) receiving funds under part A of title I; and community-based organization or other public or private entity. (*Federal Register: Volume 67, Number 99 (Appendix B: State Activities to Implement ESEA Programs and Appendix D: Assurances)*)
- A.10 Section 4204 (i)(1) states that the SEA must give priority to applications shall provide the same priority to an application submitted by a local educational agency if the LEA demonstrates that it is unable to partner with a community-based organization in reasonable geographic proximity and of sufficient quality to meet the requirements of this part. (*Federal Register: Volume 67, Number 99 ((Appendix D: Assurances)*)

SECTION B: EVALUATION OF PERFORMANCE

- B.1 Section 4205(b) of the ESEA states that for a program or activity developed pursuant to this part to meet the principles of effectiveness, such program or activity shall be based upon an assessment of objective data regarding the need for before and after school programs (including during summer recess periods) and activities in the schools and communities; shall be based upon an established set of performance measures aimed at ensuring the availability of high quality academic enrichment opportunities; and shall if appropriate, be based upon scientifically based research that provides evidence that the program or activity will help students meet the State and local student academic achievement standards. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)
- B.2 Section 4205(b)(2) of the ESEA states that the program or activity shall undergo a periodic evaluation to assess its progress toward achieving its goal of providing high quality opportunities for academic enrichment. The results of evaluations under subparagraph (A) shall be used to refine, improve, and strengthen the program or activity, and to refine the performance measures; and made available to the public upon request, with public notice of such availability. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)

- B.3 Section 4203(a)(12) of the ESEA states that the State must describe the results of the State's needs and resources assessment for before and after school activities, which shall be based on the results of on-going State evaluation activities. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)

SECTION C: ASSURANCES

- C.1 Section 4203(a)(9) of the ESEA contains an assurance that funds appropriated to carry out this part will be used to supplement, and not supplant, other Federal, State, and local public funds expended to provide programs and activities authorized under this part and other similar programs. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)
- C.2 Section 4203(a)(10) of the ESEA contains an assurance that the State educational agency will require eligible entities to describe in their applications under section 4204(b) how the transportation needs of participating students will be addressed. The SEA must clearly describe how it will meet this requirement. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)
- C.3 Section 4203(a)(11) of the ESEA states that the SEA must provide an assurance that the application was developed in consultation and coordination with appropriate State officials, including the chief State school officer, and other State agencies administering before and after school (or summer school) programs, the heads of the State health and mental health agencies or their designees, and representatives of teachers, parents, students, the business community, and community-based organizations. The SEA must clearly describe how it will meet this requirement. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)
- C.4 Section 4203(a)(8) of the ESEA contains an assurance that the State educational agency will make awards for programs for a period of not less than 3 years and not more than 5 years; and will require each eligible entity seeking such an award to submit a plan describing how the community learning center to be funded through the award will continue after funding under this part ends. The SEA must clearly describe how it will meet this requirement. (*Federal Register: Volume 67, Number 99 (Appendix D: Assurances)*)