

This document aligns with the Performance Framework adopted by the Public Education Commission October 20, 2023

Indicator 3.C. Compliance with Legal Requirements: The school creates a safe environment and is in compliance with the charter contract, federal and state statutes and rules, and PED guidelines unless waivers preserving charter autonomy are in place

Indicator 3.C. Business Rules:

- School complies with all requirements in the CSD's Annual Compliance Checklist for the current fiscal year, published on the PEC and CSD web pages by July 1 annually.
- To confirm compliance with PED requirements and statutes/rules enforced by PED, CSD will request data from other PED bureaus/divisions to verify compliance.
- CSD will verify compliance with the contract during the annual site visit and annual report process.
- To update the compliance requirements each year:
 - CSD will only update the list of compliance requirements as required by the PED, PEC or state and federal law.
 - PEC adopts the updated list by June 30 of each year.

Indicator 3.C. Rating:

Meets Standard: In compliance with all requirements, is timely with submissions and does not have to resubmit due to errors.

Working to Meet Standard: In compliance with all requirements but may be late with submissions and may have to resubmit due to errors in the initial submission.

Does Not Meet Standard: Out of compliance with one or more requirement or one or more compliance requirement is not submitted.

Process Steps:

1. The CSD compiles a list of compliance item and submits the list to PEC the Friday before the May meeting. It will include any new legislative changes, rules and PED requirements made that year.
2. The PEC discusses the list at the May and June work sessions and approves it at the June meeting.
3. The list is published on the PEC web page prior to July 1; CSD will send a reminder to each state charter school.
4. By August 1 each school shall return it to CSD (in PDF format) with the Head Administrator and GC Chair signatures to assuring they have read and will comply with each applicable item.
5. CSD will monitor compliance through a) desktop monitoring, b) on-site review, c) input provided by other bureaus and divisions within PED and d) through other notifications and information received about the school's non-compliance.
6. CSD will rate each item, providing an explanation for any ratings of Partially Compliant or Out of Compliance
7. As part of the annual report process, the CSD will provide an overall rating for 3.C Compliance with Legal Requirements, in accordance with the Performance Framework

NOTE: For each item, there could be an assurance or minimum monitoring first (likely don't need both and could grey out the assurance if not needed). Then if an issue is raised there can be more in depth monitoring and school re
 NOTE: The assurance is a statement by the school that they know of the requirement and are on top of the issue. The timing of the assurance can be done at any time. It should not be a promise that the school will comply, rather that it is complying.

2024-25 Annual State Charter School Compliance Requirements

CSD monitors compliance requirements by a combination of: CSD desktop monitoring, other PED bureaus reporting to CSD, site visit document review and focus groups and rates each requirement **In Compliance**, **Partially Compliant** (if submission was late or had to be resubmitted due to errors), **Out of Compliance**, or **N/A**. CSD may not conduct a desktop review of every requirement in every school year. If CSD does not review a requirement, or the relevant PED bureau does not report to CSD regarding that requirement, the CSD may request an assurance from the school's governing board that those requirements have been met. Ratings for those requirements will be **In Compliance by Assurances**.

PEC 2023 Performance Framework Indicator 3C Compliance with Legal Requirements is rated

Meets Standard if all requirements on the checklist are rated **In Compliance** or **In Compliance by Assurances**

Working to Meet Standard if one or more requirements are rated **Partially Compliant** but no requirements are rated **Out of Compliance**

Does Not Meet Standard if one or more requirements are rated **Out of Compliance**

Compliance Area	Standard	School	School	Minimum Monitoring	Monitoring	Rating	Explanation if not in compliance or
Contract	Mission Statement	Contractual Mission Statement Promoted and Implemented					
	Enrollment and Grade Levels	Enroll and serve students within the enrollment cap and grade-levels authorized		Nova data reports			
	Amendments and Notifications	Timely submission of Amendment requests and notifications		CSD desktop monitoring			
Federal and State Statutes, Administrative Code, and PED Guidance	College and Career Readiness	Next Step Plans (NSP) (grades 8-12)		CCRB reporting to CSD			
	Curriculum and Instruction	MLSS Self-Assessment and Implementation		C&I reporting to CSD			
		Literacy Plan completed timely and implemented		Literacy Bureau reporting to CSD			
	Data Validation	Timely validation of 40, 80, 120 day and end of year (EOY) data		IT reporting to CSD			
	Education Personnel	Valid and appropriate educator licensure and cleared background checks for all employees and volunteers		Nova data reports; Licensure reporting			
		Signed contracts and evaluations of all licensed school employees		Site visit document review; Educator Growth reporting			
		Beginning Teacher Mentorship Program implemented		Educator Growth reporting site visit focus groups			
	Language and Culture	Accurate and timely screening, identification and services provided to English Learners		Nova reports; LCD reporting; site visit focus groups			
	Identity, Equity and Transformation	Compliance with the Black Education Act (BEA); no substantiated Anti-Racism, Anti-Oppression Portal or federal level complaints		IET reporting			
		Equity Council		LCD reporting			
	Indian Education (for schools meeting definition under 6.35.2.7 NMAC)	Compliance with the Indian Education Act		IED reporting			
		Conduct tribal consultation		Tribal authority reporting			
		Needs assessment including accountability tool Systemic framework for improving educational outcomes		IED reporting			
	Safe and Healthy Schools	Tribal Education Status Report					
		Compliance with Attendance for Success Act and Submission of Attendance Improvement Plan					
		Menstrual Products in School Bathrooms			Assurance and Safe & Heath Schools Bureau reporting		
		Safe Schools Plan submitted timely and implemented					
	School Improvement	Student Wellbeing and Competency Survey Administration (Grades 3-12)					
Attendance Policy and Improvement Plan submitted timely and implemented							
School Policies in place and implemented	NM DASH Plan Annual and 90-day plans submitted timely (schools with designations)			PSB reporting; CSD review of DASH plans			
	Open Meetings Act (OMA) Policy and Annual Statement posted on the school website						
	Complaint Policy for families and staff, approved by the GC						
	FERPA and IPRA policies			CSD desktop monitoring			
	Conflict of Interest and Neoptism Policy						
	Student Discipline Policy						
Special Education	Tobacco, Alcohol, Drug-Free Policy and Medical Cannabis policy						
	Compliance with all agency, state and federal Special Education laws, rules, guidance, and no			Special Education Division reporting			