# This document aligns with the Performance Framework adopted by the Public Education Commission October 20, 2023

**Indicator 3.C. Compliance with Legal Requirements:** The school creates a safe environment and is in compliance with the charter contract, federal and state statutes and rules, and PED guidelines unless waivers preserving charter autonomy are in place

### Indicator 3.C. Business Rules:

- School complies with all requirements in the CSD's Annual Compliance Checklist for the current fiscal year, published on the PEC and CSD web pages by July 1 annually.
- To confirm compliance with PED requirements and statutes/rules enforced by PED, CSD will request data from other PED bureaus/divisions to verify compliance.
- CSD will verify compliance with the contract during the annual site visit and annual report process.
- To update the compliance requirements each year:
- CSD will only update the list of compliance requirements as required by the PED, PEC or state and federal law.
- PEC adopts the updated list by June 30 of each year.

## **Indicator 3.C. Rating:**

Meets Standard: In compliance with all requirements, is timely with submissions and does not have to resubmit due to errors.

Working to Meet Standard: In compliance with all requirements but may be late with submissions and may have to resubmit due to errors in the inital submission.

Does Not Meet Standard: Out of compliance with one or more requirement or one or more compliance requirement is not submitted.

# **Process Steps:**

- 1. The CSD compiles a list of compliance item and submits the list to PEC the Friday before the May meeting. It will include any new legislative changes, rules and PED requirements made that year.
- 2. The PEC discusses the list at the May and June work sessions and approves it at the June meeting.
- 3. The list is published on the PEC web page prior to July 1; CSD will send a reminder to each state charter school.
- 4. By August 1 each school shall return it to CSD (in PDF format) with the Head Aministrator and GC Chair signatures to assuring they have read and will comply with each applicable item.
- 5. CSD will monitor compliance through a) desktop monitoring, b) on-site review, c) input provided by other bureaus and divisions within PED and d) through other notifications and information recived about the school's non-compliance.
- 6. CSD will rate each item, providing an explanation for any ratings of Partially Compliant or Out of Compliance
- 7. As part of the annual report process, the CSD will provide an overall rating for 3.C Compliance with Legal Requirements, in accordance with the Performance Framework

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Un		2024-25 Annual State Charter School Performance Framework Indicator 3C. a Charter School							
		I creates a safe environment and is in compliance w							
		s waivers preserving charter autonomy are in place							
		ice and data sources the Charter Schools Division ( s with standards within the Organizational Performa							
mo	onitoring. Evidence of c	ompliance must be demonstrated and made availal	ole for inspection by the de	adline established by the respective					
PE	D bureau or by the CS	D annual site visit date, whichever occurs earliest.	Resource(s)	Deadline					
	Compliance Area	Standard							
,,	Mission Statement	Contractual Mission Statement Promoted and Implemented	Charter Contract	Annual Site Visit Date					
Contrac	Enrollment and Grade Levels	Enroll and serve students within the enrollment cap and grade-levels authorized	Charter Contract	Annual Site Visit Date					
Ĺ	Amendments and Notifications	Timely submission of Amendment requests and notifications	Amendments and Notifications Policies	28 or 14 days prior to PEC meeting as listed on form					
	College and Career Readiness	Next Step Plans (NSP) (grades 8-12)	6.29.1.9J(2) NMAC; NSP Guidance	During last 60 days of SY (grades 8-11); before graduation (grade 12)					
	Curriculum and	MLSS Self-Assessment and Implementation	NMPED MLSS Guidance Tool	8/25/2023 (Community Schools Grant Participants) or 9/1/2023					
		Literacy Plan completed timely and implemented	22-13-32 NMSA 1978 and NMAC 6.30.17						
	Data Validation	Timely validation of 40, 80, 120 day and end of year (EOY) data	NOVA Guidance	Provided annually by IT					
	Education Personnnel	Valid and appropriate educator licensure and cleared background checks for all employees and volunteers	22-10A-5 NMSA 1978; Licensure Guidance	Annual Site Visit Date					
		Signed contracts and evaluations of all licensed school employees	Licensule Guidance	Educator Growth Development Guidance					
		Beginning Teacher Mentorship Program implemented	6.60.10 NMAC	Educator Growth Development Guidance					
8	Language and Culture	Accurate and timely screening, identification and services provided to English Learners	6.29.5 NMAC; EL Guidance	Ongoing					
Guidan	Identity, Equity	Compliance with the Black Education Act (BEA); no substantiated Anti-Racism, Anti-Oppression Portal or federal level complaints	22-23C NMSA 1978; BEA Guidance	Ongoing					
PED	Transformation	Equity Council	Equity Council Guidelines	Ongoing					
밀		Compliance with the Indian Education Act	22-23A NMSA 1978; 6.35.2 NMAC; Indian Education Divsion Guidance	Ongoing					
je,	Indian Education	Conduct tribal consultation		Twice annually, by November 30 and April 30 October 15 every 3 years Published on website annually by					
S	(for schools meeting	Needs assessment including accountability tool							
š	definition under 6.35.2.7 NMAC)	Systemic framework for improving educational							
trat		outcomes Tribal Education Status Report	<u>Januarioo</u>	January 15 Annually by September 30					
Adminis	Safe and Healthy Schools	Compliance with Attendance for Success Act and Submission of Attendance Improvement Plan	22-12A NMSA 1978	Annual Site Visit Date					
98, 4		Menstrual Products in School Bathrooms	22-1-15 NMSA 1978	Annual Site Visit Date					
atut		Safe Schools Plan submitted timely and		Every 3 years by December 31					
te Sta		implemented	6.12.6 NMAC	(Schools with SSPs due December 2023 )					
and Sta		Student Wellbeing and Competency Survey Administration (Grades 3-12)	Student Wellbeing and Competency Survey Guidance	Required Administration Window 11/15-12/15/2023					
Federal	Improvement	Attendance Policy and Improvement Plan submitted timely and implemented	22-12A-7 NMSA 1978	Review policy at Annual Site Visit Date and School meets deadline set by PED for reports					
		NM DASH Plan Annual and 90-day plans submitted timely (schools with designations)	NM DASH Resource Library	School-specific (Deadlines sent to LEAs)					
	School Policies in place and implemented	Open Meetings Act (OMA) Policy and Annual Statement posted on the school website	OMA Compliance Guide	,					
		Complaint Policy for families and staff, approved by the GC	6.10.3 NMAC						
		FERPA and IPRA policies	14-2 NMSA 1978; 20 U.S.C. § 1232g.	Amend City Visit Date					
		Conflict of Interest and Neoptism Policy	22-8B-10B and 22-8B-5 NMSA 1978	Annual Site Visit Date					
		Student Discipline Policy	22-5-4.3 NMSA 1978; 6.11.2 NMAC						
		Tobacco, Alcohol, Drug-Free Policy and Medical Cannabis policy	6.12.4 NMAC; 6.12.10 NMAC						
	Special Education	Compliance with all agency, state and federal	Special Education Law,						
		Special Education laws, rules, guidance, and no substantiated State or Federal Special Education	Rule and Guidance 6.31.2 NMAC						
_		Dunco or r odorar opodiar Education	0.01.2 1400/10						 

		2024-25 Annual State Cha	rter School Complia	nce Requirer	nents					I	I
2024-25 Annual State Charter School Compliance Requirements  CSD monitors compliance requirements by a combination of: CSD desktop monitoring, other PED bureaus reporting to CSD, site visit document review and focus groups											
	and rates each requirement in Compliance, Partially Compliant (if submission was late or had to be resubmitted due to errors), Out of Compliance, or N/A. CSD may										
	not conduct a desktop review of every requirement in every school year. If CSD does not review a requirement, or the relevant PED bureau does not report to CSD										
reg	regarding that requirement, the CSD may request an assurance from the school's governing board that those requirements have been met. Ratings for those requirements										
will be In Compliance by Assurances.											
(	Compliance Area	Standard	Monitoring	Rating	Explanation if not in compliance						
	Mission Statement	Contractual Mission Statement Promoted and									
걸		Implemented									
Ħ		Enroll and serve students within the enrollment cap and grade-levels authorized	Nova data reports								
١ō		and grade-levels authorized Timely submission of Amendment requests and	-								
_		notifications	CSD desktop monitoring								
	Callage and Career		0000 # 4 000								
	Readiness	Next Step Plans (NSP) (grades 8-12)	CCRB reporting to CSD								
	Curriculum and	MLSS Self-Assessment and Implementation	C&I reporting to CSD								
	Instruction	Literacy Plan completed timely and implemented	Literacy Bureau reporting to								
			CSD								
		Timely validation of 40, 80, 120 day and end of year (EOY) data	IT reporting to CSD								
		Valid and appropriate educator licensure and									
		cleared background checks for all employees and	Nova data reports;								
		volunteers	Licensure reporting								
	Education	Signed contracts and evaluations of all licensed	Site visit document review:								
_		school employees	Educator Growth reporting								
nce		Beginning Teacher Mentorship Program	Educator Growth reporting								
da		implemented	site visit focus groups								
Guidance		•	Nova reports; LCD								
ě		Accurate and timely screening, identification and services provided to English Learners	reporting; site visit focus								
Б		, ,	groups								
ng I	Identity, Equity and g	Compliance with the Black Education Act (BEA); no									
e,		substantiated Anti-Racism, Anti-Oppression Portal	IET reporting								
Cod		or federal level complaints Equity Council	LCD reporting								
9		Compliance with the Indian Education Act	IED reporting								
Ě	Indian Education (for schools meeting definition under 6.35.2.7 NMAC)	Conduct tribal consultation	Tribal authority reporting								
stra		Needs assessment including accountability tool									
Ē		Systemic framework for improving educational	IED reporting								
μþ		outcomes									
٧,		Tribal Education Status Report									
Statutes	Safe and Healthy Schools	Compliance with Attendance for Success Act and									
tatı		Submission of Attendance Improvement Plan									
S		Menstrual Products in School Bathrooms									
State		Safe Schools Plan submitted timely and	Safe & Heath Schools								
S		implemented	Bureau reporting								
and		Student Wellbeing and Competency Survey									
교		Administration (Grades 3-12) Attendance Policy and Improvement Plan submitted							1		
ade		timely and implemented									
T.		NM DASH Plan Annual and 90-day plans submitted	PSB reporting; CSD review								
	·	timely (schools with designations)	of DASH plans								
	School Policies in place and Fimplemented S	Open Meetings Act (OMA) Policy and Annual									
		Statement posted on the school website	<u> </u>								
		Complaint Policy for families and staff, approved by the GC									
		FERPA and IPRA policies	CSD desktop monitoring						1		
		Conflict of Interest and Neoptism Policy	SSS GOSKIOP MONITORING								
		Student Discipline Policy									
		Tobacco, Alcohol, Drug-Free Policy and Medical									
		Cannabis policy							1		
		Compliance with all agency, state and federal	Special Education Division								
		Special Education laws, rules, guidance, and no	reporting		L				1	l	l

NOTE: For each item, there could be an assurance or minimum monitoring first (likely don't need both and could grey out the assurance if not needed). Then if an issue is raised there can be more in depth monitoring and school re NOTE: The assurance is a statement by the school that they know of the requirement and are on top of the issue. The timing of the assurance can be done at any time. It should not be a promise that the school will comply, rather that it is complying.

### 2024-25 Annual State Charter School Compliance Requirements

CSD monitors compliance requirements by a combination of: CSD desktop monitoring, other PED bureaus reporting to CSD, site visit document review and focus groups and rates each requirement In Compliance, Partially Compliant (if submission was late or had to be resubmitted due to errors), Out of Compliance, or N/A. CSD may not conduct a desktop review of every requirement in every school year. If CSD does not review a requirement, or the relevant PED bureau does not report to CSD regarding that requirement, the CSD may request an assurance from the school's governing board that those requirements have been met. Ratings for those requirements will be In Compliance by Assurances.

PEC 2023 Performance Framework Indicator 3C Compliance with Legal Requirements is rated

Meets Standard if all requirements on the checklist are rated In Compliance or In Compliance by Assurances

Working to Meet Standard if one or more requirements are rated Partially Compliant but no requirements are rated Out of Compliance

Does Not Meet Standard if one or more requirements are rated Out of Compliance

	Compliance Area	Standard	School	School	Minimum Monitoring	Monitoring	Rating	Explanation if not in compliance or
t	Mission Statement	Contractual Mission Statement Promoted and Implemented						
Contract	Enrollment and Grade Levels	Enroll and serve students within the enrollment cap and grade-levels authorized			Nova data reports			
O	Amendments and Notifications	Timely submission of Amendment requests and notifications			CSD desktop monitoring			
	College and Career Readiness	Next Step Plans (NSP) (grades 8-12)			CCRB reporting to CSD			
	Curriculum and	MLSS Self-Assessment and Implementation			C&I reporting to CSD			
	Instruction	Literacy Plan completed timely and implemented			Literacy Bureau reporting to CSD			
	Data Validation	Timely validation of 40, 80, 120 day and end of year (EOY) data			IT reporting to CSD			
	Education Personnnel	Valid and appropriate educator licensure and cleared background checks for all employees and volunteers			Nova data reports; Licensure reporting			
eo		Signed contracts and evaluations of all licensed school employees			Site visit document review; Educator Growth reporting			
idan		Beginning Teacher Mentorship Program implemented			Educator Growth reporting site visit focus groups			
and PED Guidance	Language and Culture	Accurate and timely screening, identification and services provided to English Learners			Nova reports; LCD reporting; site visit focus groups			
Code, and	Identity, Equity and Transformation	Compliance with the Black Education Act (BEA); no substantiated Anti-Racism, Anti-Oppression Portal or federal level complaints			IET reporting			
ŭ		Equity Council			LCD reporting			
Ve Ve	Indian Education (for schools meeting definition under	Compliance with the Indian Education Act			IED reporting			
ati		Conduct tribal consultation			Tribal authority reporting			
str		Needs assessment including accountability tool						
ü		Systemic framework for improving educational outcomes			IED reporting			
ğ		Tribal Education Status Report						
Statutes, Administrative		Compliance with Attendance for Success Act and Submission of Attendance Improvement Plan						
St	Safe and Healthy	Menstrual Products in School Bathrooms			Assurance and Safe &			
ate	Schools	Safe Schools Plan submitted timely and			Heath Schools Bureau			
20		implemented			reporting			
Federal and State		Student Wellbeing and Competency Survey Administration (Grades 3-12)						
eral		Attendance Policy and Improvement Plan						
ğ	School	submitted timely and implemented						
Ľ.		NM DASH Plan Annual and 90-day plans			PSB reporting; CSD review of DASH plans			
		submitted timely (schools with designations)  Open Meetings Act (OMA) Policy and Annual			of DASH plans			
		Statement posted on the school website						
	School Policies in place and	Complaint Policy for families and staff, approved by						
		the GC						
		FERPA and IPRA policies			CSD desktop monitoring			
	implemented	Conflict of Interest and Neoptism Policy Student Discipline Policy					<del> </del>	
		Tobacco, Alcohol, Drug-Free Policy and Medical						
		Cannabis policy						
	Onesial Education	Compliance with all agency, state and federal			Special Education Division			
	Special Education	Special Education laws, rules, guidance, and no			reporting			
						•		